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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/684,388 | 10/04/2000 | David C. Gelvin | 21200-714 |

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050



CONFIRMATION NO. 9801

FORMALITIES LETTER



OC000000005978366

Date Mailed: 04/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

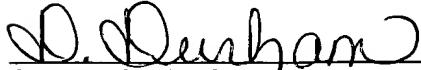
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$944.
 - \$864 for 48 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1784.**

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

| | | | | |
|-----------|---------|----------|--------|----------|
| 5/11/2001 | UKROKHA | 00000068 | 232415 | 09691388 |
| FC:101 | CH | 710.00 | CH | |
| FC:102 | CH | 80.00 | CH | |
| FC:103 | CH | 864.00 | CH | |
| FC:105 | CH | 130.00 | CH | |
| 01 | | | | |
| 02 | | | | |
| 03 | | | | |
| 04 | | | | |

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SIDUS/SLA
Page 1 of 4
21200-714
RG
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MAY 4 2001
UNITED STATES PATENT AND TRADEMARK OFFICE

WILSON SONSINI,
GOODRICH & ROSATI

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/684,388 | 10/04/2000 | 2131 | 0.00 | 21200-714 | 23 | 68 | 4 |

CONFIRMATION NO. 9801

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

FILING RECEIPT

OC000000005978365

DOCKETED

Date Mailed: 04/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David C. Gelvin, Residence Not Provided; Escondido, CA;
Lewis D. Girod, Residence Not Provided; Los Angeles, CA;
William J. Kaiser, Residence Not Provided; Los Angeles, CA;
Fredric Newberg, Residence Not Provided; San Diego, CA;
Gregory J. Pottie, Residence Not Provided; Los Angeles, CA.

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/158,013 10/06/1999
AND CLAIMS BENEFIT OF 60/170,865 12/15/1999
AND CLAIMS BENEFIT OF 60/208,397 05/30/2000
AND CLAIMS BENEFIT OF 60/210,296 06/08/2000

Foreign Applications

If Required, Foreign Filing License Granted 04/14/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Method for vehicle internetworks

Preliminary Class

713

Data entry by : DURHAM, DESHAWN

Team : OIPE

Date: 04/17/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David C. Gelvin, et al.
 Serial No.: 09/684,388
 Filed: October 4, 2000
 For: Method for Vehicle Internetworks

Group No.: 2131
 Examiner: Not Yet Assigned

Commissioner for Patents
 Washington, D.C. 20231



**COMPLETION OF FILING REQUIREMENTS
—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed April 17, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application. An Assignment and Recordation Form Cover Sheet are also enclosed for this application.

COMPLETION FEES

| | |
|------------------------------------------------------------------------------|-------------|
| 1. Filing Fee: Original patent application (37 C.F.R. 1.16(a)) | \$ 710.00 |
| 2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c)) | \$ 864.00 |
| 3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b)) | \$ 80.00 |
| 4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e)) | \$ 130.00 |
| 5. Assignment (See "ASSIGNMENT COVER SHEET".) | \$ 40.00 |
| Total completion fees | \$ 1,824.00 |

EXTENSION OF TIME

- III. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due: \$ 0

REQUEST FOR CORRECTED FILING RECEIPT

- IV. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

| Error in | Correct data |
|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Applicant name and/or addresses | David C. Gelvin, Escondido, CA; Lewis D. Girod, Los Angeles, CA; William J. Kaiser, Los Angeles, CA; Fredric Newberg, San Diego, CA; Gregory J. Pottie, Los Angeles, CA. |

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- V. The total fee due is: Completion fees \$ 1,824.00 Extension of Time Fees \$ 0 Corrected Filing Receipt Fees \$ 25.00
TOTAL FEE DUE \$ 1,849.00

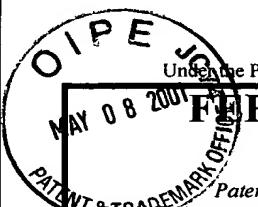
PAYMENT OF FEES

- VI. Charge Account No. 23-2415 (21200-714) in the amount of \$ 1,849.00.
 Please charge Account No. 23-2415 (21200-714) for any fees that may be due by this paper.

Richard L. Gregory, Jr., Reg. No. 42,607

Wilson Sonsini Goodrich & Rosati
 650 Page Mill Road
 Palo Alto, CA 94304
 (650) 493-9300
 Customer No. 021971

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FEE TRANSMITTAL for FY 2000

*Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.*

TOTAL AMOUNT OF PAYMENT (\$ 1,849.00)
Complete if Known

| | |
|------------------------|------------------|
| Application Number | 09/684,388 |
| Filing Date | October 4, 2000 |
| First Named Inventor | David C. Gelvin |
| Examiner Name | Not Yet Assigned |
| Group/Art Unit | 2131 |
| Attorney Docket Number | 21200-714 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **23-2415 (Docket No. 21200-714)**

Deposit Account Name **Wilson Sonsini Goodrich & Rosati**

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|---------------------|-----------------|----------------|--------------------------------------|----------------------------------------------------------------------------|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | 25 | Request for Corrected Filing Receipt | 25.00 | |
| Other fee (specify) | | 55/110 | Terminal Disclaimer | | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 195.00)**Complete (if applicable)**

| | | | | | |
|-------------------|--------------------------------|-----------------------------------|---------|--------------|--------------|
| Name (Print/Type) | Richard L. Gregory, Jr. | Registration No. (Attorney/Agent) | 42,607 | Telephone | 650-493-9300 |
| Signature | <i>Richard L. Gregory, Jr.</i> | Date | 5-07-01 | Customer No. | 021971 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

[] +

05-10-01

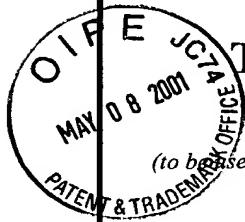
See K/S

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/684,388

Filing Date

October 4, 2001

First Named Inventor

David C. Gelvin

Group/Art Unit

2131

Examiner Name

Not Yet Assigned

Attorney Docket Number

21200-714

ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Response

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers
(for an Application) (2 pgs.)

Drawing(s)

Licensing-related Papers

Petition Routing Slip (PTO/SB/69) and Accompanying Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Small Entity Statement

Request for Refund

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Additional Enclosure(s)
(please identify below):

Declaration (4 pgs.)

Copy of Notice to File Missing Parts of Application (1 pg.)

Copy of Filing Receipt w/ marked changes (4 pgs.)

Submission of Formal Drawings w/ 23 Sheets of Formal Drawings

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm
or
Individual name

Richard L. Gregory, Jr., Reg. No. 42,607, WILSON SONSINI GOODRICH & ROSATI

Signature

Date

5-07-01

Customer Number:

021971

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date: *May 8, 2001*

Express Mail Label EL757542555US

Typed or printed name

Cindy Baglietto

Signature

Date

5/8/2001

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